



ADAPTATION FUND

AFB/EFC.7/2
5 December 2011

Ethics and Finance Committee
Seventh Meeting
Durban, South Africa, 12 December 2011

ANNOTATED PROVISIONAL AGENDA

1. Opening of the meeting

The meeting will be opened by the Chair of the EFC, Mr. Zaheer Fakir (South Africa, African Group).

2. Organizational matters:

a) Adoption of the agenda

Documents: AFB/EFC.7/1 Provisional agenda and AFB/EFC.7/2 Provisional annotated agenda.

Action expected: The EFC will be invited to adopt the agenda for the meeting and to raise any other matters it may wish to discuss, to be included on the agenda under item 9.

b) Organization of work

Documents: AFB/EFC.7/1 Provisional agenda and AFB/EFC.7/2 Provisional annotated agenda.

Background: The Chair will propose to the EFC the organization of work as suggested in the attached provisional timetable.

Action expected: The EFC will be invited to approve the organization of work as proposed by the Chair.

3. Reports on project/programme implementation: CSE

Document: AFB/EFC.7/3 Report on the implementation of the programme “Adaptation to coastal erosion in vulnerable areas”, Senegal, Centre de Suivi Ecologique (CSE).

Background: At its 11th meeting the Board decided to approve funding for the programme “Adaptation to coastal erosion in vulnerable areas” (Senegal), to be implemented by the NIE Centre de Suivi Ecologique (CSE). The agreement signed between the Board and CSE states that CSE shall provide to the Board semi-annual progress reports, on the status of the programme implementation, including the disbursements made during the relevant period or more frequent reports if requested by the Board. The Board at its last meeting considered the first report submitted by CSE and requested further clarifications on a number of issues. CSE submitted the requested information provided by CSE is contained in document AFB/EFC.7/3.

Action expected: The EFC will be invited to consider the additional information submitted by CSE, and to make a recommendation to the Board on the disbursement of the second tranche of funds to CSE.

4. Annual performance report.

Document: AFB/EFC.7/4 Annual performance report

Background: At its 10th meeting the Board agreed to the Results Based Management (RBM) approach. Fund level portfolio performance will be presented annually at the last Board meeting of the calendar year, through an *Adaptation Fund Annual Performance Report* (AFAPR), which will be the principal instrument for reporting on the Fund’s active projects and programmes. Document AFB/EFC.7/4 presents the Fund’s first annual performance report. Since this is the first such report, the period covered is from the Adaptation Fund’s first call for proposals at the 10th Board meeting in June 2010 through September 30, 2011.

Action expected: The EFC will be invited to consider the AFAPR, including the recommendation proposed by the secretariat, and make a recommendation to the Board.

5. Investigative procedures to address cases of misuse of funds.

Document: AFB/EFC.7/5 Investigative procedures to address misuse of funds

Background: The Board at its 15th meeting decided to request the secretariat to present to the next EFC meeting a document on how to trigger a review or an investigation, including to address cases of financial mismanagement. The document should present examples of the experience of other funds and proposals on how to deal with the results of such a review or an investigation. Following this request, the secretariat prepared document AFB/EFC.7/5, which

contains a summary of the rules and procedures of other funds; an overview of relevant provisions and suggestions on how to move forward.

Action expected: The EFC will be invited to consider document AFB/EFC.7/5, including suggestions of paragraph 38, and make a recommendation to the Board.

6. Level of funding approved for projects implemented by MIEs, in the context of the 50% cap on MIEs

Document: None.

Background: The Board at its 15th meeting decided that both committees would take up at their 7th meetings the issue of the cap on funding for projects proposed by MIE in order to consider: the cumulative effect of the cap on the funds available; and the action to be taken when the cap is exceeded.

Action expected: the EFC will be invited to consider the issues above and submit a recommendation to the Board.

7. Implementation of the code of conduct.

Under this agenda item EFC members can raise any issues related to the implementation of the code of conduct for deliberation by the EFC. The EFC will make recommendations to the Board on the issues raised, as appropriate.

8. Financial status of the Adaptation Fund Trust Fund.

Document: AFB/EFC.7/6 *Financial status of the Adaptation Fund Trust Fund*

Background: The trustee will report on the financial status of the trust fund to the Board.

Action expected: The EFC will be invited to consider and take note of the report presented by the trustee.

9. Other matters

Board members will be invited to discuss any other matters raised during the adoption of the agenda.

10. Adoption of the recommendations and report

11. Closure of the meeting.

PROVISIONAL TIMETABLE

12 December 2011

Morning Session

9:00 – 9:15	1	Opening of the meeting
	2 a)	Adoption of the agenda
	2 b)	Organization of work
9:15 – 9:45	4	Annual performance report
9:45 – 10:15	3	CSE report
10:15 – 10:30		<i>Coffee break</i>
10:30 – 11:00	5	Investigative procedures
11:00 – 11:30	7	Implementation of the code of conduct
11:30 – 12:15	6	MIE cap

Afternoon Session

14:00 – 14:30	8	Financial status of the Trust Fund
14:30 – 15:00	9	Other matters
15:00 – 15:15		<i>Coffee break</i>

The EFC report will be adopted on Tuesday 13 December 2011 at 9:00 h.